

DOCKET NO: S1389.70015US00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

David Fusari

Serial No:

10/632,690

Confirmation No:

Not Yet Assigned

Filed:

August 1, 2003

For:

METHODS AND APPARATUS FOR VERIFYING

CONTEXT PARTICIPANTS IN A CONTEXT MANAGEMENT SYSTEM IN A NETWORKED

**ENVIRONMENT** 

Examiner:

Not Yet Assigned

Art Unit:

Not Yet Assigned

### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 16 day of October, 2003.

Richard F. Giunta, Reg. No. 36,149

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

#### PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the filing date of a National Application other than a continued prosecution application under 37 C.F.R. §1.53(d) and before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

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Serial No.: 10/632,690 - 2 - Art Unit: Not Yet Assigned

#### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

#### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, David Fusari, Applicant

By:

Richard F. Giunta, Reg. No. 36,149 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617) 720-3500

Docket No. S1389.70015US00 Date: October 16, 2003

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INFORMATION DISCLOSURBENT STATEMENT BY APPLICANT			FILIN	NG DATE:	August 1, 2003	CONFIRMATION NO.: Not Yet Assigned	
			APPL	LICANT:	David Fusari		
Sheet	l l	of	l	GRO	UP ART UNIT:	Not Yet Assigned	EXAMINER: Not Yet Assigned

#### **U.S. PATENT DOCUMENTS**

Examiner's	Cite No.	U.S. Patent Docum	ent	Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
Initials		Number	Kind Code		
		6,401,138	B1	Judge et al.	06-04-2002

EXAMINER	DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_, filed \_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

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Richard F. Giunta, Reg. No.: 36,149

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- [X] Information Disclosure Statement
- [X] PTO Form 1449 with cited references
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted, David Fusari, Applicant

By:

Richard F. Giunta, Reg. No.: 36,149

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

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